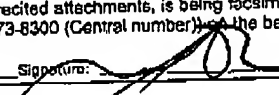


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SEP 24 2007

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence, totaling <u>9</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date: Date: <u>September 24, 2007</u> Name: <u>James L. Katz (Reg. No. 42,711)</u> Signature: 	
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**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: BRADY, ET AL.

Appln. No.: 10/726,851

Filed: December 2, 2003

For: NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

Examiner: LALITA M. HAMILTON

Art Unit: 3691

Conf. No. 5641

Attorney Docket No: ~~4672300~~ 369
 Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Attached is/are:

- ☒
- Transmittal (in duplicate); and Preliminary Amendment.

Fee calculation:

- ☐
- No additional fee is required.
-
- ☐
- Small Entity.
-
- ☐
- An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
-
- ☐
- A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
-
- ☒
- An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	30	Minus	26	4	x \$25=			x \$50=	200.00
Indep.	5	Minus	4	1	x 100=			x \$200=	200.00
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$400.00

Fee payment:

- ☒
- Please charge Deposit Account No. 23-1925 in the amount of \$400.00. A copy of this Transmittal is enclosed for this purpose.
-
- ☐
- Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
-
- ☒
- The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

 September 24, 2007
 Date

James L. Katz (Reg. No. 42,711)

**BRINKS
HOFER**

BRINKS HOFER GILSON & LIONE

NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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SEP 24 2007

Our Case No. 4672/369

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No. 10/726,851

Filing Date: December 2, 2003

For NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

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)
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) Examiner: HAMILTON, LALITA M
)
) Group Art Unit No.: 3691
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PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the subject application, please amend the above-identified application as follows:

09/26/2007 WASFAW1 00000046 231925 10726851

01 FC:1202 200.00 DA
02 FC:1201 200.00 DA